

Natural Language Understanding, Generation, and Machine Translation

Lecture 10: Pretrained Language Models

Shay Cohen

based on slides by Frank Keller

5 February 2024 (week 4)

School of Informatics

University of Edinburgh

scohen@inf.ed.ac.uk

The Story so Far

Bert Architecture

Masked Training

Pre-training and Finetuning Bert

Reading: [Devlin et al.2019].

The Story so Far

Self-attention

Self-attention is what we get when compute attention over the input sequence. Let $\mathbf{x}_1, \dots, \mathbf{x}_t$ be the input vectors and $\mathbf{y}_1, \dots, \mathbf{y}_t$ be the output vectors:

$$\mathbf{y}_i = \sum_j w_{ij} \mathbf{x}_j$$

We now compute the attention weight as the dot-product of each input token with every other token.

$$w'_{ij} = \mathbf{x}_i \cdot \mathbf{x}_j$$
$$\mathbf{w}_i = \text{softmax}(\mathbf{w}'_i)$$

Note that the attention weight is now called \mathbf{w}' and the attention distribution \mathbf{w} (rather than \mathbf{a} and α).

Self-attention

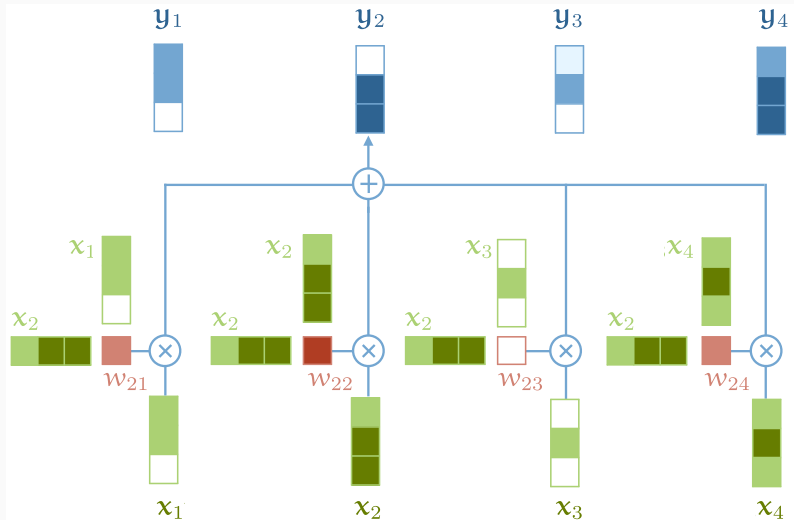
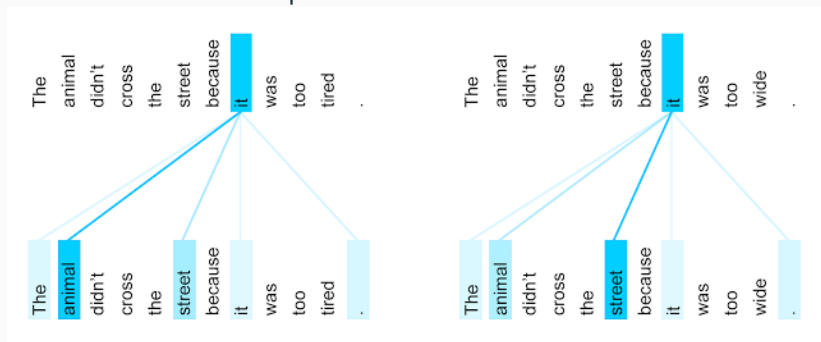


Figure from [Bloem2019].

Multi-head Attention

Multi-head attention is able to jointly attend to different parts of the input. If we just have a single head, have to average.

For example, one head could attend to the subject of a verb, another one to its object. Or different heads could attend to different referents of a pronoun.



The Transformer

The multi-head self attention mechanism needs to be integrated into a larger architecture to be useful:

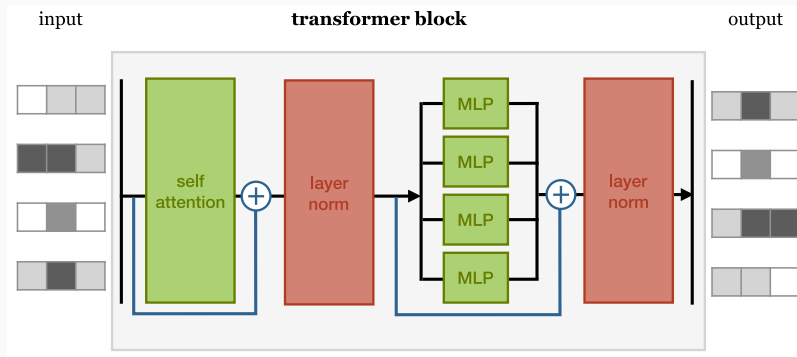


Figure from [Bloem2019].

Position Encodings

We choose a function $f : \mathbb{N} \rightarrow \mathbb{R}^k$ that maps positions to real valued vectors, and let the network learn how to interpret these.

The choice of encoding function is a hyperparameter.

[[Vaswani et al.2017](#)] use:

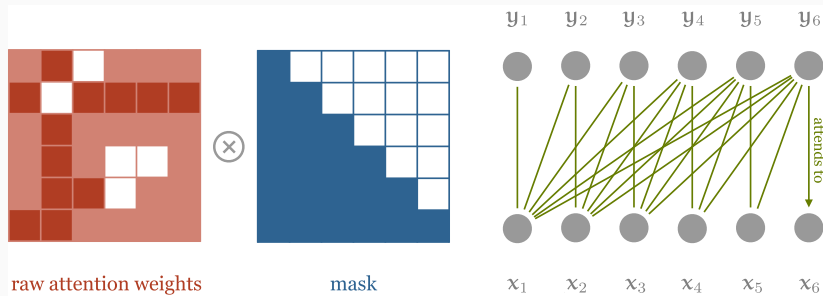
$$PE_{(pos, 2i)} = \sin(pos/10000^{2i/k})$$
$$PE_{(pos, 2i+1)} = \cos(pos/10000^{2i/k})$$

where pos is the position and i is an index over dimensions.

The positions encoding is then added to the input embedding (both are of dimensionality k).

Masking

We apply a mask to the matrix of dot products, before the softmax is applied. This disables all elements above the diagonal:



The model can no longer look forward and just copy the upcoming input; it behaves like an RNN!

Figure from [Bloem2019].

From Transformers to Embeddings

- In lecture 8, we saw how we can stack transformers and use them for *classification*. We apply mean pooling to the output and map it onto a class vector.
- We can also use transformers for *sequence prediction*. For this we need to mask some of the input.
- In lecture 9, we saw how we can derive *embeddings* from neural language models.
- Using transformers, we can build language models that represent context very well: *contextualized embeddings*.
- These language models can be used for feature extraction, but also in a *pre-training/finetuning* setup.

Bert Architecture

Bert Architecture

Bert (Bidirectional Encoder Representations from Transformers):

- designed for pre-training deep bidirectional representations from unlabeled text;
- conditions on left and right context in all layers;
- pre-trained model can be finetuned with one additional output layer for many tasks (e.g., NLI, QA, sentiment);
- for many tasks, no modifications to the Bert architecture are required;
- [Devlin et al.2019] report SotA results on 11 tasks using pre-training/finetuning approach.

Bert Architecture

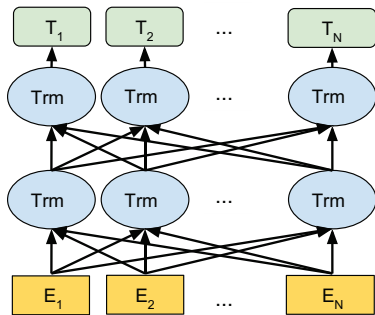
Bert uses bidirectional transformer representations. This is shown to be superior to competing architectures:

- GPT [Radford et al.2018] also uses transformers, but is only trained left-to-right;
- Elmo [Peters et al.2018] uses shallow concatenation of independently trained left-to-right and right-to-left LSTMs.

Main attraction of Bert: *pre-training/finetuning* approach (though this is also present in GPT).

Bert Architecture

BERT (Ours)



OpenAI GPT

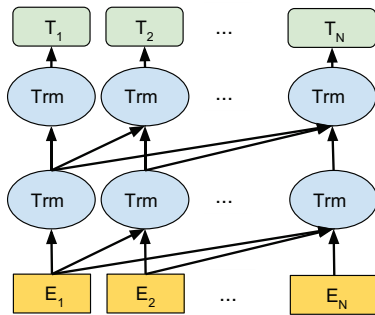


Figure from [Devlin et al.2019].

Bert Architecture

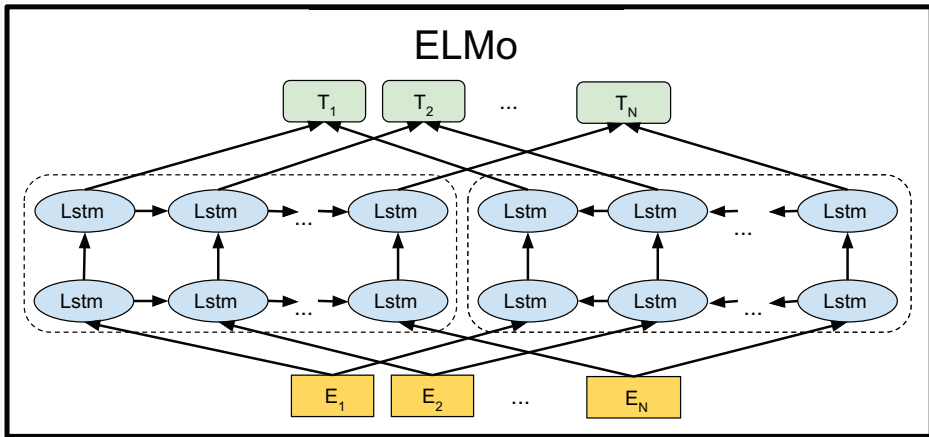


Figure from [Devlin et al.2019].

Bert Architecture

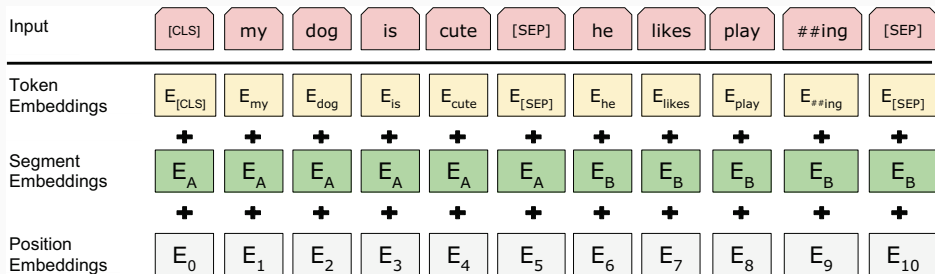
Basic Bert architecture:

- multi-layer bidirectional transformer [[Vaswani et al.2017](#)];
- L : layers (transformer blocks); H : dimensionality of hidden layer, A : number of self-attention heads;
- Bert Base: $L = 12$, $H = 768$, $A = 12$, 110M parameters;
- Bert Large: $L = 24$, $H = 1024$, $A = 16$, 340M parameters.

Input/Output Representation

- Input sequence: can be $\langle \text{Question}, \text{Answer} \rangle$ pair, single sentence, or any other string of tokens;
- 30,000 token vocabulary, represented as WordPiece embeddings (handles OOV words);
- first token is always [CLS]: aggregate sentence representation for classification tasks;
- sentence pairs separated by [SEP] token; and by segment embeddings;
- token position represented by position embeddings.

Bert Architecture



E : input embedding, C : final hidden vector of [CLS]; T_i : final hidden vector for the i -th input token.

Note: C and T_i used in subsequent slides.

Figure from [Devlin et al.2019].

Masked Training

Masked Language Model

Important departure from previous embedding models (including GPT and Elmo):

Don't train the model to predict the next word, but train it to predict the whole context.

- Problem: how can we prevent *trivial copying* via the self-attention mechanism?
- Solution: mask 15% of the tokens in the input sequence; train the model to predict these.

Masked Language Model

Problem: masking creates mismatch between pre-training and finetuning: [MASK] token is not seen during fine-tuning. Solution:

- do not always replace masked words with [MASK], instead choose 15% of token positions at random for prediction;
- if i -th token is chosen, we replace the i -th token with:
 1. the [MASK] token 80% of the time;
 2. a random token 10% of the time;
 3. the unchanged i -th token 10% of the time.
- Now use T_i to predict original token with cross entropy loss.

Masked Language Model

Why this masking scheme?

- if we always use [MASK] token, the model would not have to learn good representation for other words;
- if we only use [MASK] token or random word, model would learn that observed word is never correct;
- if we only use [MASK] token or observed word, model would just learn to trivially copy.

Secondary Task: Next Sentence Prediction

- Bert is designed to be used for tasks such as question answering (QA) and natural language inference (NLI) that require sentence pairs;
- Bert uses a special mechanism to capture this: pre-training on next sentence prediction task;
- generate training data: chose two sentences A and B , such that 50% of the time B is the actual next sentence of A , and 50% of the time a randomly selected sentence.

Pre-training and Finetuning Bert

Pre-training and Finetuning Bert

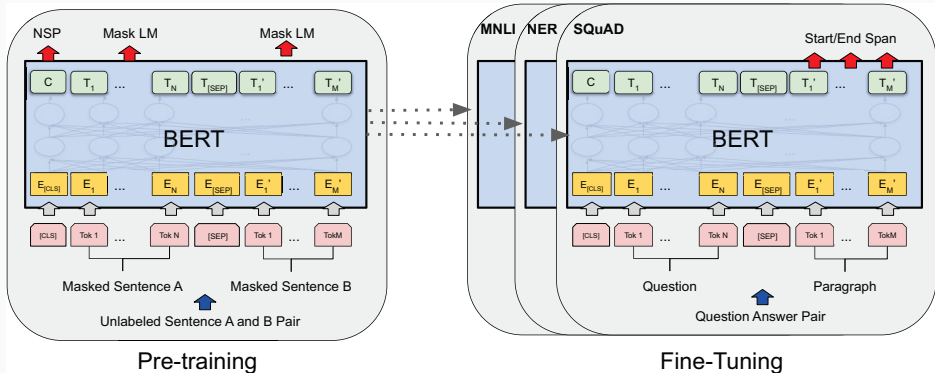


Figure from [Devlin et al.2019].

Pre-training and Finetuning Bert

- Pre-training on BooksCorpus (800M words) and English Wikipedia (2,500M words);
- to finetune for a new task, we plug task-specific inputs and outputs into Bert and re-train its parameters;
- input: designed to be two sentences:
 1. sentence pairs in paraphrasing;
 2. hypothesis-premise pairs in entailment;
 3. question-passages pairs in question answering;
 4. text- \emptyset pair in text classification or sequence tagging;
- output:
 1. sequence of tokens in tasks such as QA (mark answer span);
 2. sequence of labels in tagging tasks such as NER;
 3. [CLS] representation is fed into an output layer for classification, such as entailment or sentiment.
- appendix of [Devlin et al.2019] gives examples.

Bert Results: Glue Benchmark

System	MNLI-(m/mm) 392k	QQP 363k	QNLI 108k	SST-2 67k	CoLA 8.5k	STS-B 5.7k	MRPC 3.5k	RTE 2.5k	Average -
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.8	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	87.4	91.3	45.4	80.0	82.3	56.0	75.1
BERT _{BASE}	84.6/83.4	71.2	90.5	93.5	52.1	85.8	88.9	66.4	79.6
BERT _{LARGE}	86.7/85.9	72.1	92.7	94.9	60.5	86.5	89.3	70.1	82.1

Glue is a mixture of various natural language understanding tasks: MNLI, QNLI, WNLI: natural language inference; QQP: question equivalence; SST-2: sentiment; CoLA: linguistic acceptability; STS-B: semantic similarity; MRPC: paraphrasing; RTE: entailment.

Feature Extraction vs. Finetuning: Named Entity Recognition

System	Dev F1	Test F1
ELMo (Peters et al., 2018a)	95.7	92.2
CVT (Clark et al., 2018)	-	92.6
CSE (Akbik et al., 2018)	-	93.1
Fine-tuning approach		
BERT _{LARGE}	96.6	92.8
BERT _{BASE}	96.4	92.4
Feature-based approach (BERT _{BASE})		
Embeddings	91.0	-
Second-to-Last Hidden	95.6	-
Last Hidden	94.9	-
Weighted Sum Last Four Hidden	95.9	-
Concat Last Four Hidden	96.1	-
Weighted Sum All 12 Layers	95.5	-

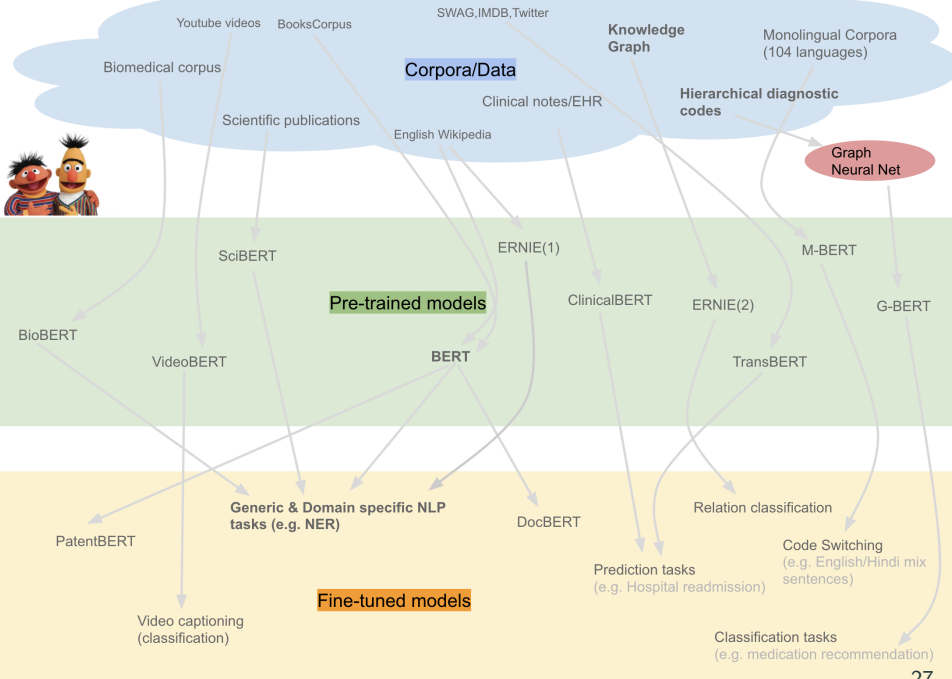
Bert and the State of the Art

The current state of the art in NLP owes a lot to Bert (and many subsequent large language models):

- pre-training a large language model and then fine-tuning or prompting is state of the art for many NLP tasks
- pre-training requires lots of resources! Estimate for Bert (Tim Dettmers): 4 GPUs (RTX 2080Ti) for 100 days
- fine-tuning existing model is relatively quick, but fitting model in GPU memory can be a challenge
- getting new state of the art results with ever-larger models and data is a game that will continue, but only few can play
- positive (for everybody else): we also need smart ideas for architectures, objective functions, evaluation, etc.

Summary

- Bert is a contextualized language model that uses a deep, bidirectional transformer architecture;
- it is pre-trained on unlabeled text using masking and next sentence prediction;
- it is designed for finetuning with minimal architectural modifications;
- the input uses sentence pairs; the output can be sentences, labels, classification decisions, depending on task;
- Bert-based models are state of the art on many NLP tasks.
- There are many variants of Bert . . .



References

-  Peter Bloem.
2019.
Transformers from scratch.
Blog, <http://www.peterbloem.nl/blog/transformers>.
-  Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova.
2019.
BERT: Pre-training of deep bidirectional transformers for language understanding.
In *Proceedings of the Human Language Technology Conference of the North American Chapter of the Association for Computational Linguistics*, pages 4171–4186, Minneapolis, MN.
-  Matthew E. Peters, Mark Neumann, Mohit Iyyer, Matt Gardner, Christopher Clark, Kenton Lee, and Luke Zettlemoyer.
2018.
Deep contextualized word representations.
In *Proceedings of the Human Language Technology Conference of the North American Chapter of the Association for Computational Linguistics*, pages 2227–2237, New Orleans, LA.
-  Alec Radford, Karthik Narasimhan, Tim Salimans, and Ilya Sutskever.
2018.
Improving language understanding with unsupervised learning.
Technical report, OpenAI.
-  Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin.
2017.
Attention is all you need.
In I. Guyon, U. V. Luxburg, S. Bengio, H. Wallach, R. Fergus, S. Vishwanathan, and R. Garnett, editors, *Advances in Neural Information Processing Systems 30*, pages 5998–6008, Red Hook, NY. Curran Associates.